

# Primitive recursion in pure $\lambda$ -calculus

Viktor Engelmann  
Viktor.Engelmann@RWTH-Aachen.de

August 30, 2009

**Abstract**

## 1 Definitions

... For example the addition of two numbers  $n$  and  $m$  can be achieved by applying the *succ* function  $n$  times to  $m$  by using

$$\begin{aligned} & n \text{ succ } m \\ = & (\lambda f. \lambda x. \underbrace{f(f(\dots(f \ x)\dots))}_{n \text{ times}}) \text{ succ } m \\ = & (\lambda x. \underbrace{\text{succ}(\text{succ}(\dots(\text{succ } x)\dots))}_{n \text{ times}}) m \\ = & \underbrace{\text{succ}(\text{succ}(\dots(\text{succ } m)\dots))}_{n \text{ times}} \end{aligned}$$

...

## 2 Theorem

A primitive recursion  $\bar{f}$  is presentable by a  $\lambda$ -term of the form

$$\lambda i. \lambda x_1. \dots \lambda x_n. \underbrace{(\lambda g. g(\lambda y_1. \lambda y_2. y_2))}_{\text{sel}_2^2} (i \ h \ (g \ x_1 \ \dots \ x_n))$$

Proof:

Let  $\bar{f}$  a primitive recursion with

$$\begin{aligned} \bar{f}(0, x_1, \dots, x_n) &= \bar{g}(x_1, \dots, x_n) \\ \bar{f}(i, x_1, \dots, x_n) &= \bar{h}(x_1, \dots, x_n, i-1, \bar{f}(i-1, x_1, \dots, x_n)) \text{ for } i > 0 \end{aligned}$$

and let  $g$  and  $h$  be  $\lambda$ -terms that calculate  $\bar{g}$  and  $\bar{h}$ . if you apply such a function to some values of  $i$ , you notice a simple pattern

$i$	$f(i, x_1, \dots, x_n)$
0	$\bar{g}(x_1, \dots, x_n)$
1	$\bar{h}(x_1, \dots, x_n, 0, \bar{g}(x_1, \dots, x_n))$
2	$\bar{h}(x_1, \dots, x_n, 1, \bar{h}(x_1, \dots, x_n, 0, \bar{g}(x_1, \dots, x_n)))$
3	$\bar{h}(x_1, \dots, x_n, 2, \bar{h}(x_1, \dots, x_n, 1, \bar{h}(x_1, \dots, x_n, 0, \bar{g}(x_1, \dots, x_n))))$

Keep in mind that in the  $\lambda$ -calculus the result of  $h x_1 \dots x_n (i - 1)$  is a function, which is applied to the result of the recursive call. Let's look at the previous table in terms of the  $\lambda$ -calculus.

$i$	$f i x_1 \dots x_n$
0	$g x_1 \dots x_n$
1	$(h x_1 \dots x_n 0) (g x_1 \dots x_n)$
2	$(h x_1 \dots x_n 1) ((h x_1 \dots x_n 0) (g x_1 \dots x_n))$
3	$(h x_1 \dots x_n 2) ((h x_1 \dots x_n 1) ((h x_1 \dots x_n 0) (g x_1 \dots x_n)))$

Now imagine that  $h$  didn't get the  $i - 1$  parameter. The  $\lambda$ -terms then would look like this

$i$	$f i x_1 \dots x_n$
0	$g x_1 \dots x_n$
1	$(h x_1 \dots x_n) (g x_1 \dots x_n)$
2	$(h x_1 \dots x_n) ((h x_1 \dots x_n) (g x_1 \dots x_n))$
3	$(h x_1 \dots x_n) ((h x_1 \dots x_n) ((h x_1 \dots x_n) (g x_1 \dots x_n)))$

so if  $h$  didn't get the  $i - 1$  parameter,  $f i x_1 \dots x_n$  would be equivalent to the  $i$ -fold application of  $(h x_1 \dots x_n)$  to  $(g x_1 \dots x_n)$ .

In the pure  $\lambda$ -calculus the natural number  $i$  is represented by the  $\lambda$ -term

$$\lambda f. \lambda x. \underbrace{f(f(\dots(f x)\dots))}_{i \text{ times}}$$

which is the  $i$ -fold application of the first parameter to the second. Therefore  $f$  could be written as

$$f = \lambda i. \lambda x_1. \dots \lambda x_n. i (h x_1 \dots x_n) (g x_1 \dots x_n)$$

However  $h$  still has to get the  $i - 1$  parameter, but in the pure  $\lambda$ -terms,  $i$  doesn't have to be passed as a parameter from outside of the functioncall. We can just define functions  $\dot{g}$  and  $\dot{h}$  which return a pair containing the result and also the  $i - 1$  parameter for the outer applications of  $\dot{h}$ .

$$\begin{aligned} \dot{g} x_1 \dots x_n &= (0, g x_1 \dots x_n) \\ \dot{h} x_1 \dots x_n \underbrace{r}_{\text{recursion result}} &= (1 + (sel_1^2 r), h x_1 \dots x_n \underbrace{(sel_1^2 r)}_{i-1} (sel_2^2 r)) \end{aligned}$$

using these definitions, we get

$$f = \lambda i. \lambda x_1. \dots \lambda x_n. \text{sel}_2^2 (i (\dot{h} x_1 \dots x_n) (\dot{g} x_1 \dots x_n))$$

but moreover, using the above idea, we don't have to pass  $x_1 \dots x_n$  to  $\dot{h}$  directly, either. Instead we can define functions  $\ddot{g}$  and  $\ddot{h}$  which return an  $n + 2$ -tuple, that also contains the  $x_1 \dots x_n$  parameters:

$$\begin{aligned} \ddot{g} x_1 \dots x_n &= (x_1, \dots, x_n, 0, \overbrace{g x_1 \dots x_n}) \\ \ddot{h} r &= (\underbrace{\text{sel}_1^{n+2} r}_{x_1}, \dots, \underbrace{\text{sel}_n^{n+2} r}_{x_n}, \underbrace{(1 + \text{sel}_{n+1}^{n+2} r)}_{1+(i-1)}, \overbrace{h (\text{sel}_1^{n+2} r) \dots (\text{sel}_n^{n+2} r)}_{x_1 \dots x_n} (\underbrace{\text{sel}_{n+1}^{n+2} r}_{i-1} (\underbrace{\text{sel}_{n+2}^{n+2} r}_{\text{recursionresult}}))) \end{aligned}$$

so now we have

$$f = \lambda i. \lambda x_1. \dots \lambda x_n. \text{sel}_{n+2}^{n+2} (i \ddot{h} (\ddot{g} x_1 \dots x_n))$$

which only has to be brought into pure  $\lambda$ -form, but that is also possible.

One important observation is that this gives us a new characterization for the borderline between primitive-recursive and  $\mu$ -recursive functions: in the pure  $\lambda$ -calculus, primitive recursive functions can be computed without using fixedpoint-combinators, while  $\mu$ -recursive functions in general require them. Also, since every computable set can be computed using the  $\mu$ -operator only once<sup>1</sup>, this also shows that every computable set can be computed in pure  $\lambda$ -calculus using only one fixedpoint-combinator.

---

<sup>1</sup>see [http://s-inf.de/Skripte/HS/Rekursionstheorie.2003-SS-Thomas.\(JRe\).Skript.ps](http://s-inf.de/Skripte/HS/Rekursionstheorie.2003-SS-Thomas.(JRe).Skript.ps) page 16